

# ON THE STIEFEL-WHITNEY CLASSES OF MAPS AND HAEFLIGER'S OBSTRUCTIONS TO EMBEDDINGS

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*Dedicated to Professor Teiichi Kobayashi on his 60th birthday*

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**Abstract.** The purposes of this article are (1) to more explicitly describe Haefliger's obstruction for a map  $f : M^n \rightarrow N^{2n-k}$  to be homotopic to an embedding, (2) to show some relations among the Stiefel-Whitney classes of a map  $f$  and (3) to give methods to determine the Stiefel-Whitney classes of a map.

## 1. Introduction

Throughout this note,  $n$ -manifolds mean  $n$ -dimensional connected differentiable manifolds without boundary. The cohomology is understood to have  $Z_2$  as coefficients.

For a map  $f : M \rightarrow N$  between manifolds, the total Stiefel-Whitney class of  $f$ ,  $w(f) (= \sum_{i \geq 0} w_i(f))$ , is defined by the equation

$$w(f) = \bar{w}(M)f^*(w(N)), \quad (1.1)$$

where  $w(N)$  and  $\bar{w}(M) (= w(M)^{-1})$  are the total Stiefel-Whitney class of  $N$  and the total normal Stiefel-Whitney class of  $M$ , respectively. For an  $n$ -manifold  $V^n$ , let  $U_V \in H^n(V^2)$  denote the mod 2 Thom class (or the diagonal class) of  $V$  as given in [6, p. 125].

Haefliger [1.5 and 3.7] defined a primary obstruction  $\phi_2^f \in H^{n+k}(M^*)$  for a map  $f : M^n \rightarrow N^{n+k}$  to be homotopic to an embedding, where  $M^* (= (M \times M - \Delta M)/Z_2)$  denotes the reduced symmetric product of  $M$ .

**THEOREM (Haefliger).** *For a map  $f : M^n \rightarrow N^{2n-k}$ , the primary obstruction  $\phi_2^f$  to being homotopic to an embedding vanishes if and only if (1)  $U_M(1 \otimes w_{n-k}(f)) + (f^2)^*U_N = 0$ , and (2)  $w_i(f) = 0$  for  $i > n - k$ .*

The explicit description of  $H^*(M^*)$  is given in [7, §2] (see §2). But  $\phi_2^f$  has not been given an explicit description as an element of  $H^*(M^*)$ . We regard the isomorphism  $\rho_M | B_M^* \oplus I_M^* : B_M^* \oplus I_M^* \rightarrow H^*(M^*)$  in [7, Proposition 2.9(d)] (see Theorem 2.1 in §2) as the identity. Then we have

THEOREM 1.1. *Let  $M^n$  be a compact  $n$ -manifold. Then for a map  $f : M^n \rightarrow N^{2n-k}$ ,*

$$\begin{aligned} \phi_2^f &= U_M(1 \otimes w_{n-k}(f)) + (f^2)^* U_N \\ &+ \sum_{1 \leq j \leq [(k-1)/2]} \sum_{0 \leq i < j} u^{k-2j} \otimes (\mathcal{Q}_M^i w_{n-k+j-i}(f))^2. \end{aligned}$$

Here  $\mathcal{Q}_M^i : H^*(M) \rightarrow H^{*+i}(M)$  ( $i \geq 0$ ) are Yo's operations defined in [11] (see [8, §2]) and satisfy the relation

$$\sum_{i+j=k} Sq^i \mathcal{Q}_M^j(x) = xw_k(M) \quad \text{for } x \in H^*(M). \quad (1.2)$$

COROLLARY 1.2. *Under the above assumption,  $\phi_2^f = 0$  if and only if (1)  $U_M(1 \otimes w_{n-k}(f)) + (f^2)^* U_N = 0$ , and (2)  $w_i(f) = 0$  for  $n-k < i \leq n - \lfloor \frac{k}{2} \rfloor - 1$ .*

This corollary and Haefliger's theorem indicate that  $w_i(f)$  for  $i \geq n - k/2$  are described by using  $w_j(f)$  ( $n-k \leq j < n - \lfloor k/2 \rfloor$ ). In fact, we have the following relations, where  $\bar{\mathcal{Q}}_M = \sum_{i \geq 0} \bar{\mathcal{Q}}_M^i$  is the dual to  $\mathcal{Q}_M = \sum_{i \geq 0} \mathcal{Q}_M^i$ , that is,  $\bar{\mathcal{Q}}_M \mathcal{Q}_M = \mathcal{Q}_M \bar{\mathcal{Q}}_M = 1$ .

THEOREM 1.3. *Let  $M^n$  be a compact  $n$ -manifold.*

(1) *For  $f : M^n \rightarrow N^{2n-(2k-1)}$ ,*

$$w_{n-(k-1)+i}(f) = \sum_{1 \leq j < k} \sum_{0 \leq l \leq i} \bar{\mathcal{Q}}_M^l \mathcal{Q}_M^{i+j-l} w_{n-(k-1)-j}(f) \quad \text{for } i \geq 0.$$

(2) *For  $f : M^n \rightarrow N^{2n-2k}$ ,*

$$w_{n-k}(f) = \sum_{1 \leq j \leq k} \mathcal{Q}_M^j w_{n-k-j}(f) + f^* v_{n-k}(N),$$

$$w_{n-k+i}(f) = \sum_{0 \leq j < k} \sum_{0 \leq l < i} \bar{\mathcal{Q}}_M^l \mathcal{Q}_M^{i+j-l} w_{n-k-j}(f) \quad \text{for } i \geq 1.$$

Here  $v_i(N)$  stands for the  $i$ -th Wu class of  $N$ .

The relation (1) in Haefliger's Theorem (or in Corollary 1.2) is important in the study of embeddings. The following method helps determine  $w_{n-k}(f)$ . Let  $\bar{S}q (= \sum_{i \geq 0} \bar{S}q^i)$  be the dual operation to  $Sq (= \sum_{i \geq 0} Sq^i)$ , that is,  $\bar{S}q^0 = 1$ ,  $\sum_{0 \leq i \leq j} Sq^i \bar{S}q^{j-i} = 0$  ( $j > 0$ ).

THEOREM 1.4 (cf. Li-Peterson). *For a map  $f : M \rightarrow N$ ,*

$$\langle w(f)x, [M] \rangle = \langle \bar{S}q(x) f^*(v(N)), [M] \rangle \quad \text{for } x \in H^*(M),$$

or equivalently

$$w_{n-j}(f)x = \sum_{i \geq 0} \bar{S}q^i(x) f^*(v_{n-j-i}(N)) \quad \text{for } x \in H^j(M).$$

Applications of these theorems to the existence and the non-existence problems of embeddings will be given in, e.g., [10] and [9], respectively.

This note is organized as follows. §2 is devoted to the explanation of  $H^*(M^*)$  by [7], Haefliger's obstruction  $\phi_2^f$  [1] and Yo's operation  $Q_M^i$  and its dual [11]. We will prove Theorems 1.1, 1.3 and 1.4 in §3, §4 and §5, respectively.

## 2. Preliminaries

We adopt the notations and definitions used in [7, §2].

For a manifold  $M$ , let  $M^2$  be the product  $M \times M$  and  $\Delta M$  the diagonal of  $M$  in  $M^2$ . The group  $Z_2$  acts on  $M^2$  by interchanging factors. We set  $M^* = (M^2 - \Delta M)/Z_2$ . Further, we set  $\Gamma M = S^\infty \times_{Z_2} M^2$ , where  $Z_2$  acts by the diagonal action. Let  $i : S^\infty \times_{Z_2} (M^2 - \Delta M) \subset \Gamma M$  and  $p : S^\infty \times_{Z_2} (M^2 - \Delta M) \rightarrow M^*$  be the natural inclusion and the natural projection. Then  $p$  is a homotopy equivalence and the map  $\rho_M = p \circ i : H^*(\Gamma M) \rightarrow H^*(M^*)$  in [7, Theorem 2.1] is given by  $\rho_M = p^{*-1}i^*$  [8, (2.2)]. Let  $t_M$  be the involution of  $M^2$  which transposes the factors and let

$$I_M^* = (1 + t_M^*)H^*(M^2) \quad \text{and} \quad K_M^* = \{(x^2)(= x \otimes x) \mid x \in H^*(M)\}.$$

Further let  $u \in H^1(P^\infty)$  be the generator.

**THEOREM 2.1** (Thomas). (1) *There is an  $H^*(P^\infty)$ -module isomorphism*

$$H^*(\Gamma M) \cong H^*(P^\infty) \otimes K_M^* \oplus I_M^*,$$

where  $H^*(P^\infty)$  acts on  $I_M^*$  trivially.

(2) *Let  $B_M^*$  be the subgroup of  $H^*(\Gamma M)$  generated by all elements of the form  $u^j \otimes (x)^2$  with  $j + \dim x < \dim M$ . The restriction of  $\rho_M$  to  $B_M^* \oplus I_M^*$  is a group isomorphism,*

$$\rho_M \mid B_M^* \oplus I_M^* : B_M^* \oplus I_M^* \cong H^*(M^*).$$

For a map  $f : M^n \rightarrow N^{2n-k}$ , the obstruction  $\phi_2^f \in H^{2n-k}(M^*)$  in [1, §3] is described as

$$\phi_2^f = \sum_{0 \leq j \leq [(2n-k-1)/2]} \rho_M(u^{2n-k-2j} \otimes (f^*v_j(N))^2) + \rho_M((f^2)^*U_N), \quad (2.1)$$

in other words,  $\phi_2^f = \rho_M \Gamma(f)^* \varphi_N(1 \otimes 1)$  by [8, Proposition 2.6].

Yo [11] defined operations  $Q_M = \sum_{i \geq 0} Q_M^i$ ,  $Q_M^i : H^*(M) \rightarrow H^{*+i}(M)$ , for compact manifolds  $M^n$ , which satisfy such properties as

$$Q_M^0 = 1, \quad Q_M^i x = 0 \quad \text{if } 2i > \dim M - \dim x, \quad (2.2)$$

$$Sq Q_M x = xw(M), \quad \bar{Q}_M x = \bar{w}(M) Sq x. \quad (2.3)$$

There are some other relations.

LEMMA 2.2. *Let  $M$  be a compact manifold. Then*

$$\bar{Q}_M^i x = 0 \quad \text{if } i > 0, x \in H^{n-i}(M), \quad (2.4)$$

$$\bar{Q}_M f^* v(N) = w(f) \quad \text{for } f : M \rightarrow N. \quad (2.5)$$

PROOF. For  $i > 0$ ,  $\bar{Q}_M^i x = \sum_{0 \leq j \leq i-1} Q_M^{i-j} \bar{Q}_M^j x$  by the definition of  $\bar{Q}_M^i$ . If  $\dim x = n - i$ , then  $n - \dim \bar{Q}_M^j x = i - j < 2(i - j)$ . Hence  $Q_M^{i-j} \bar{Q}_M^j x = 0$  for  $0 \leq j < i$  by (2.2) and so  $\bar{Q}_M^i x = 0$ . This shows (2.4). By (2.3) and the relation  $w(N) = Sqv(N)$ , we have  $\bar{Q}_M f^* v(N) = \bar{w}(M) Sqf^* v(N) = \bar{w}(M) f^* Sqv(N) = \bar{w}(M) f^* w(N) = w(f)$ .  $\square$

### 3. mod 2 primary obstructions

In this section, we will prove Theorem 1.1, while using the following

LEMMA 3.1. *Let  $M^n$  be a compact  $n$ -manifold. Then for any  $x \in H^{n-i}(M)$ ,*

$$\begin{aligned} \rho_M(u^{2i-k} \otimes (x)^2) &= \sum_{1 \leq j \leq [(k-1)/2]} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (Q_M^l \bar{Q}_M^{i-k+j-l} x)^2) \\ &\quad + \rho_M(U_M(1 \otimes \bar{Q}_M^{i-k} x)). \end{aligned}$$

PROOF. If  $[k/2] \leq i < k$ , then the relation holds trivially. For  $i \geq k$ , we prove the relation by induction. If  $i = k$  and  $x \in H^{n-k}(M)$ , then  $\varphi_M(1 \otimes 1) = \sum_{0 \leq j \leq [(k-1)/2]} u^{k-2j} \otimes (Q_M^j x)^2 + U_M(1 \otimes x)$  by [8, Proposition 2.6]. Hence

$$\begin{aligned} \rho_M(u^k \otimes (x)^2) &= \sum_{1 \leq j \leq [(k-1)/2]} \rho_M(u^{k-2j} \otimes (Q_M^j x)^2) + \rho_M(U_M(1 \otimes x)) \\ &= \sum_{1 \leq j \leq [(k-1)/2]} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (Q_M^l \bar{Q}_M^{j-l} x)^2) + \rho_M(U_M(1 \otimes x)). \end{aligned}$$

Assume that the equations hold for  $[k/2] \leq i \leq m$  and consider the case when  $i = m + 1$ . For  $x \in H^{n-m-1}(M)$ , we have, by [8, Proposition 2.6],

$$\varphi_M(u^{m+1-k} \otimes x_{n-m-1}) = \sum_{0 \leq r \leq [(m+1)/2]} u^{m+1-k+m+1-2r} \otimes (Q_M^r x)^2.$$

Hence, by the assumption of induction and the fact that  $Q_M^r x = 0$  for  $2r > n - \dim x = m + 1$ ,

$$\begin{aligned} \rho_M(u^{2(m+1)-k} \otimes (x)^2) \\ = \sum_{1 \leq r \leq [(m+1)/2]} \rho_M(u^{2(m+1)-k-2r} \otimes (Q_M^r x)^2) \end{aligned}$$

$$\begin{aligned}
&= \sum_{1 \leq r \leq \lfloor (m+1)/2 \rfloor} \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l \bar{\mathcal{Q}}_M^{m+1-r-k+j-l} \mathcal{Q}_M^r x)^2) \\
&\quad + \sum_{1 \leq r \leq \lfloor (m+1)/2 \rfloor} \rho_M(U_M(1 \otimes \bar{\mathcal{Q}}_M^{m+1-r-k} \mathcal{Q}_M^r x)) \\
&= \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \rho_M \left( u^{k-2j} \otimes \left( \sum_{0 \leq l < j} \sum_{1 \leq r} \mathcal{Q}_M^l \bar{\mathcal{Q}}_M^{m+1-k+j-l-r} \mathcal{Q}_M^r x \right)^2 \right) \\
&\quad + \rho_M \left( U_M \left( 1 \otimes \sum_{1 \leq r} \bar{\mathcal{Q}}_M^{m+1-k-r} \mathcal{Q}_M^r x \right) \right) \\
&= \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l \bar{\mathcal{Q}}_M^{m+1-k+j-l} x)^2) \\
&\quad + \rho_M(U_M(1 \otimes \bar{\mathcal{Q}}_M^{m+1-k} x)).
\end{aligned}$$

Thus the relation holds for  $m+1$ . This completes the proof.  $\square$

Now we are ready to prove Theorem 1.1.

PROOF OF THEOREM 1.1. For a map  $f : M^n \rightarrow N^{2n-k}$ , we have

$$\begin{aligned}
\phi_2^f &= \sum_{0 \leq m \leq \lfloor (2n-k-1)/2 \rfloor} \rho_M(u^{2n-k-2m} \otimes (f^* v_m(N))^2) + \rho_M((f^2)^* U_N) \quad \text{by (2.1),} \\
&= \sum_{0 \leq m \leq \lfloor (2n-k-1)/2 \rfloor} \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l \bar{\mathcal{Q}}_M^{n-m-k+j-l} f^* v_m(N))^2) \\
&\quad + \sum_{0 \leq m \leq \lfloor (2n-k-1)/2 \rfloor} \rho_M(U_M(1 \otimes \bar{\mathcal{Q}}_M^{n-m-k} f^* v_m(N))) \\
&\quad + \rho_M((f^2)^* U_N) \quad \text{by Lemma 3.1} \\
&= \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \rho_M \left( u^{k-2j} \otimes \left( \sum_{0 \leq l < j} \mathcal{Q}_M^l \sum_{0 \leq m \leq n-k+j-l} \bar{\mathcal{Q}}_M^{n-k+j-l-m} f^* v_m(N) \right)^2 \right) \\
&\quad + \rho_M \left( U_M \left( 1 \otimes \sum_{0 \leq m \leq n-k} \bar{\mathcal{Q}}_M^{n-k-m} f^* v_m(N) \right) \right) + \rho_M((f^2)^* U_N) \\
&= \sum_{1 \leq j \leq \lfloor (k-1)/2 \rfloor} \sum_{0 \leq l < j} \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l w_{n-k+j-l}(f))^2) + \rho_M(U_M(1 \otimes w_{n-k}(f))) \\
&\quad + \rho_M((f^2)^* U_N) \quad \text{by (1.1) and Lemma 2.2.}
\end{aligned}$$

Regarding  $\rho_M|_{B^* \oplus I^*}$  as the identity, we complete the proof.  $\square$

EXAMPLE 3.1. Let  $f : M^n \rightarrow N^{2n-k}$ . If  $k = 0$ , then  $\phi_2^f = 0$ , because  $H^{2n}(M^*) = 0$ . If  $k > 0$ , then

$$\phi_2^f = U_M(1 \otimes w_{n-k}(f)) + (f^2)^* U_N$$

$$+ \begin{cases} 0 & \text{for } k = 1, 2, \\ u^{k-2} \otimes (w_{n-k+1}(f))^2 & \text{for } k = 3, 4, \\ u^{k-2} \otimes (w_{n-k+1}(f))^2 \\ \quad + u^{k-4} \otimes (w_{n-k+2}(f)) + (Sq^1 + w_1(M))w_{n-k+1}(f))^2 & \text{for } k = 5, 6. \end{cases}$$

Corollary 1.2 and Haefliger's theorem indicate that if (1) and (2) of Corollary 1.2 are satisfied then  $w_i(f) = 0$  for  $i \geq n - [k/2]$ . This follows immediately from the following lemma. Let  $j : PM \rightarrow M^*$  be the natural inclusion and set  $j^*u = u$ . Then

LEMMA 3.2. For a map  $f : M^n \rightarrow N^{2n-k}$ ,

$$j^* \phi_2^f = \sum_{1 \leq i \leq k} \sum_{0 \leq j < i} u^{n-i} w_j(M) w_{n-k+i-j}(f).$$

PROOF.

$$\begin{aligned} j^* \phi_2^f &= \sum_{0 \leq i \leq [(2n-k-1)/2]} \rho_2 k^* (u^{2n-k-2i} \otimes (f^* v_i(N))^2) + \rho_2 k^* (f^2)^* U_N \\ &\quad \text{by (2.1) and the commutative diagram in [7, Theorem 2.1]} \\ &= \sum_{0 \leq i} \rho_2 k^* (u^{2n-k-2i} \otimes (f^* v_i(N))^2) \\ &\quad \text{because } \begin{cases} (f^2)^* U_N \in I_M^* & \text{if } k \text{ is odd,} \\ (f^2)^* (U_N + (v_{n-[k/2]}(N))^2) \in I_M^* & \text{if } k \text{ is even,} \end{cases} \\ &\quad \text{by [8, Proposition 2.6], and } k^* I_M^* = 0 \text{ by [7, Proposition 2.5],} \\ &= \sum_{0 \leq j \leq i} u^{2n-k-2i+i-j} Sq^j f^* v_i(N) \quad \text{by [7, Proposition 2.5 and (2.7)]} \\ &= \sum_{0 \leq l} u^{2n-k-l} \sum_{i+j=l} f^* Sq^j v_i(N) = \sum_{0 \leq l} u^{2n-k-l} f^* w_l(N) \\ &= \sum_{0 \leq l \leq n-k} u^{2n-k-l} f^* w_l(N) + \sum_{n-k < l \leq n} u^{2n-k-l} f^* w_l(N) \\ &= \sum_{0 \leq l \leq n-k} \sum_{1 \leq i \leq n} u^{n-i} \sum_{0 \leq j \leq n-k-l} w_{n+i-k+l-j}(M) \bar{w}_j(M) f^* w_l(N) \\ &\quad + \sum_{0 \leq i \leq k} u^{n-i} f^* w_{n-k+i}(N) \quad \text{by [2, Proposition 3.1].} \end{aligned}$$

Let  $a_i$  be the coefficient of  $u^{n-i}$ . Then

$$\begin{aligned}
a_i &= \sum_{0 \leq l \leq n-k} \sum_{0 \leq j \leq n-k-l} w_{n+i-k-l-j}(M) \bar{w}_j(M) f^* w_l(N) + f^* w_{n-k+i}(N) \\
&= \sum_{0 \leq m \leq n-k} \sum_{0 \leq l \leq m} w_{i+n-k-m}(M) \bar{w}_{m-l}(M) f^* w_l(N) + f^* w_{n-k+i}(N) \\
&= \sum_{0 \leq m \leq n-k} w_{i+n-k-m}(M) w_m(f) + f^* w_{n-k+i}(N) \quad \text{by (1.1)} \\
&= \sum_{0 \leq j < i} w_j(M) w_{n+i-k-j}(f) \quad \text{because } w(M)w(f) = f^*w(N) \text{ by (1.1)}. \quad \square
\end{aligned}$$

#### 4. Relations among Stiefel-Whitney classes of a map

The aim of this section is to prove Theorem 1.3. For a map  $f : M^n \rightarrow N^{2n-k}$ , we have

$$\begin{aligned}
j^* \phi_2^f &= j^* \rho_M(U_M(1 \otimes w_{n-k}(f)) + (f^2)^* U_N) \\
&\quad + \sum_{0 \leq j \leq [(k-1)/2]} \sum_{0 \leq l < j} j^* \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l w_{n-k+j-l}(f))^2) \quad \text{by Theorem 1.1,} \\
&= \sum_{0 \leq j \leq [(k-1)/2]} \sum_{0 \leq l < j} j^* \rho_M(u^{k-2j} \otimes (\mathcal{Q}_M^l w_{n-k+j-l}(f))^2) \\
&\quad + [\rho_M k^* (1 \otimes (\mathcal{Q}_M^{k/2} w_{n-k}(f) + (f^2)^* v_{n-k/2}(N))^2)]^1 \\
&\quad \text{by the diagram in [7, Theorem 2.1] and [8, Proposition 2.6].}
\end{aligned}$$

Therefore, for a map  $f : M^n \rightarrow N^{2n-k}$ , we have, by [7, Proposition 2.5 and (2.7)],

$$\begin{aligned}
j^* \phi_2^f &= \sum_{1 \leq j \leq [(k-1)/2]} \sum_{0 \leq l < j} \sum_{0 \leq i \leq n-k+j} u^{n-j-i} Sq^i \mathcal{Q}_M^l w_{n-k+j-l}(f) \quad (4.1) \\
&\quad + \left[ \sum_{0 \leq i \leq n-k/2} u^{n-k/2-i} Sq^i (\mathcal{Q}_M^{k/2} w_{n-k}(f) + f^* v_{n-k/2}(N)) \right]
\end{aligned}$$

We begin proving Theorem 1.3. We give the proof of (2) and omit that of (1).

PROOF OF THEOREM 1.3(2). Comparing the coefficients of  $u^{n-k}$  of  $j^* \phi_2^f$ 's

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1. brackets appear only when  $k$  is even.

in Lemma 3.2 and (4.1), we have

$$\begin{aligned}
w_{n-k}(f) &= \sum_{1 \leq j < k} w_j(M) w_{n-2k+k-j}(f) + \mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N) \\
&\quad + \sum_{1 \leq j < k} \sum_{0 \leq l < j} S q^{k-j} \mathcal{Q}_M^l w_{n-2k+j-l}(f) \\
&= \sum_{1 \leq j < k} w_j(M) w_{n-k-j}(f) + \mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N) \\
&\quad + \sum_{1 \leq m < k} \sum_{0 \leq l < m} S q^{m-l} \mathcal{Q}_M^l w_{n-k-m}(f) \quad \text{by setting } k-j+l=m, \\
&= \mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N) \\
&\quad + \sum_{1 \leq m < k} \left( \sum_{0 \leq l < m} S q^{m-l} \mathcal{Q}_M^l + w_m(M) \right) w_{n-k-m}(f) \\
&= f^* v_{n-k}(N) + \sum_{1 \leq m < k} \mathcal{Q}_M^m w_{n-k-m}(f) + \mathcal{Q}_M^k w_{n-2k}(f) \quad \text{by (2.3)}.
\end{aligned}$$

Thus (2) for  $i=0$  holds. Next, we consider the coefficients of  $u^{n-k-1}$ . Then

$$\begin{aligned}
w_{n-k+1}(f) &= \sum_{1 \leq j \leq k} w_j(M) w_{n-k+1-j}(f) + S q^1 (\mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N)) \\
&\quad + \sum_{1 \leq j < k} \sum_{0 \leq l < j} S q^{k+1-j} \mathcal{Q}_M^l w_{n-k-(k-j+l)}(f) \\
&= w_1(M) w_{n-k}(f) + S q^1 (\mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N)) \\
&\quad + \sum_{1 \leq m < k} \left( w_{m+1}(M) + \sum_{0 \leq l < m} S q^{m+1-l} \mathcal{Q}_M^l \right) w_{n-k-m}(f) \\
&= w_1(M) w_{n-k}(f) + S q^1 (\mathcal{Q}_M^k w_{n-2k}(f) + f^* v_{n-k}(N)) \\
&\quad + \sum_{1 \leq m < k} (S q^1 \mathcal{Q}_M^m + \mathcal{Q}_M^{m+1}) w_{n-k-m}(f) \quad \text{by (2.3)}, \\
&= w_1(M) w_{n-k}(f) + S q^1 \left( \sum_{1 \leq m \leq k} \mathcal{Q}_M^m w_{n-k-m}(f) + f^* v_{n-k}(N) \right) \\
&\quad + \sum_{1 \leq m < k} \mathcal{Q}_M^{m+1} w_{n-k-m}(f) \\
&= \mathcal{Q}_M^1 w_{n-k}(f) + \sum_{1 \leq m < k} \mathcal{Q}_M^{m+1} w_{n-k-m}(f) \quad \text{by (2) for } i=0.
\end{aligned}$$



Thus (2) holds for  $i = 1$ . We assume that (2) holds for  $i = 0$  to  $i$ . By comparing the coefficients of  $u^{n-k-i-1}$ , we have

$$\begin{aligned}
& w_{n-k+i+1}(f) + Sq^{i+1}(Q_M^k w_{n-2k}(f) + f^* v_{n-k}(N)) \\
&= \sum_{1 \leq j \leq k+i} w_j(M) w_{n-k+i+1-j}(f) + \sum_{1 \leq j < k} \sum_{0 \leq l < j} Sq^{k+i+1-j} Q_M^l w_{n-2k+j-l}(f) \\
&= \sum_{1 \leq j \leq i} w_j(M) w_{n-k+i+1-j}(f) + \sum_{i+1 \leq j \leq k+i} w_j(M) w_{n-k+i+1-j}(f) \\
&\quad + \sum_{1 \leq m < k} \sum_{0 \leq l < m} Sq^{i+1+m-l} Q_M^l w_{n-k-m}(f) \quad \text{by setting } k-j+l=m, \\
&= \sum_{1 \leq j \leq i} w_j(M) \sum_{0 \leq m < k} \sum_{0 \leq l \leq i-j} \bar{Q}_M^l Q_M^{i+1-j+m-l} w_{n-k-m}(f) \\
&\quad + \sum_{0 \leq m < k} w_{i+1+m}(M) w_{n-k-m}(f) \\
&\quad + \sum_{1 \leq m < k} \sum_{0 \leq l < m} Sq^{i+1+m-l} Q_M^l w_{n-k-m}(f) \quad \text{by the assumption of induction,} \\
&= \sum_{1 \leq m < k} \left( \sum_{1 \leq j \leq i} \sum_{0 \leq l \leq i-j} w_j(M) \bar{Q}_M^l Q_M^{i+1-j+m-l} + w_{i+1+m}(M) \right. \\
&\quad \left. + \sum_{0 \leq l < m} Sq^{i+1+m-l} Q_M^l \right) w_{n-k-m}(f) \\
&\quad + \left( \sum_{1 \leq j \leq i} w_j(M) \sum_{0 \leq l \leq i-j} \bar{Q}_M^l Q_M^{i+1-j-l} + w_{i+1}(M) \right) w_{n-k}(f) \\
&= \sum_{1 \leq m < k} \left( \sum_{1 \leq r \leq i} \sum_{0 \leq l < r} w_{r-l}(M) \bar{Q}_M^l Q_M^{i+1+m-r} + Q_M^{i+1+m} \right. \\
&\quad \left. + \sum_{1 \leq r \leq i+1} Sq^r Q_M^{i+1+m-r} \right) w_{n-k-m}(f) \\
&\quad + \left( \sum_{1 \leq r \leq i} \sum_{0 \leq l < r} w_{r-l}(M) \bar{Q}_M^l Q_M^{i+1-r} + w_{i+1}(M) \right) w_{n-k}(f) \quad \text{by setting } j+l=r,
\end{aligned}$$

$$\begin{aligned}
&= \sum_{1 \leq m < k} \left( \sum_{1 \leq r \leq i} (Sq^r + \bar{Q}_M^r) Q_M^{i+1+m-r} + Q_M^{i+m+1} + Sq^{i+1} Q_M^m \right. \\
&\quad \left. + \sum_{1 \leq r \leq i} Sq^r Q_M^{i+1+m-r} \right) w_{n-k-m}(f) \\
&\quad + \left( \sum_{1 \leq r \leq i} (\bar{Q}_M^r + Sq^r) Q_M^{i+1-r} + w_{i+1}(M) \right) w_{n-k}(f) \quad \text{by (2.3),} \\
&= \sum_{1 \leq m < k} \left( \sum_{1 \leq r \leq i} \bar{Q}_M^r Q_M^{i+1+m-r} + Q_M^{i+1+m} + Sq^{i+1} Q_M^m \right) w_{n-k-m}(f) \\
&\quad + \left( \sum_{1 \leq r \leq i} \bar{Q}_M^r Q_M^{i+1-r} + \sum_{1 \leq r \leq i} Sq^r Q_M^{i+1-r} + w_{i+1}(M) \right) w_{n-k}(f) \\
&= \sum_{0 \leq m < k} Q_M^{i+1+m} w_{n-k-m}(f) + \sum_{0 \leq m < k} \sum_{1 \leq r \leq i} \bar{Q}_M^r Q_M^{i+1+m-r} w_{n-k-m}(f) \\
&\quad + Sq^{i+1} \left( w_{n-k}(f) + \sum_{1 \leq m < k} Q_M^m w_{n-k-m}(f) \right).
\end{aligned}$$

Hence, we have, by (2) for  $i = 0$ ,

$$w_{n-k+i+1}(f) = \sum_{0 \leq m < k} \sum_{0 \leq r \leq i} \bar{Q}_M^r Q_M^{i+1+m-r} w_{n-k-m}(f).$$

This completes the proof of (2). □

### 5. On the estimate of the Stiefel-Whitney classes of a map

Theorem 1.4, below, was proved implicitly in the proof of [5, Theorem 2.1]. We will give another proof in a way somewhat different from that of [5], while using older methods.

**THEOREM 1.4** (cf. Li and Peterson). *For a compact  $n$ -manifold  $M^n$  and a map  $f : M^n \rightarrow N^{n+k}$ ,*

$$\langle w(f)_x, [M] \rangle = \langle \bar{S}q(x) f^*(v(N)), [M] \rangle \quad \text{for } x \in H^*(M).$$

**PROOF.** Let  $f : M^n \rightarrow N$  be a map and let  $x \in H^*(M)$ . Then

$$\begin{aligned}
 \langle w(f)x, [M] \rangle &= \langle \bar{w}(M)f^*(w(N))x, [M] \rangle \quad \text{by (1.1),} \\
 &= \langle \bar{w}(M)f^*(Sq(v(N)))Sq\bar{S}q(x), [M] \rangle \quad \text{because } Sqv(N) = w(N), \\
 &= \langle \bar{w}(M)Sq(f^*(v(N)))\bar{S}q(x), [M] \rangle \\
 &= \langle \bar{Q}_M(f^*(v(N))\bar{S}q(x)), [M] \rangle \quad \text{by (2.3),} \\
 &= \left\langle \sum_{k=0}^n \bar{Q}_M^k [f^*(v(N))\bar{S}q(x)]_{n-k}, [M] \right\rangle,
 \end{aligned}$$

where  $[f^*(v(N))\bar{S}q(x)]_{n-k}$  denotes the  $(n - k)$ -dimensional part of  $f^*(v(N))\bar{S}q(x)$ . By (2.4), we have

$$\langle w(f)x, [M] \rangle = \langle [f^*v(N)\bar{S}q(x)]_n, [M] \rangle = \langle f^*v(N)\bar{S}q(x), [M] \rangle. \quad \square$$

**COROLLARY 5.1** (cf. Li and Peterson). *The following relations hold:*

- (1)  $w_{n-1}(f)x = \sum_{i \geq 0} x^{2^i} f^*(v_{n-2^i}(N))$  for  $x \in H^1(M)$ ,
- (2)  $w_{n-2}(f)y = \sum_{i \geq 0} y^{2^i} f^*(v_{n-2^{i+1}}(N))$  for  $y \in H^2(M)$  with  $Sq^1 y = 0$ .

**PROOF.** This follows from Theorem 1.4 and the facts that  $\bar{S}q(x) = \sum_{i \geq 0} x^{2^i}$  if  $\dim x = 1$ , and  $\bar{S}q(y) = \sum_{i \geq 0} y^{2^i}$  if  $\dim y = 2$  with  $Sq^1 y = 0$ .  $\square$

Applications of this corollary to the non-existence problem of immersions and embeddings are given in [5] and [9].

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