# On examples of open algebraic surfaces with $\bar{q} = 1, \bar{P}_2 = 0$

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## **1** Introduction

In this note algebraic surfaces are assumed to be defined over the complex number field  $\mathbb{C}$ . In [6] the author proved that any nonsingular open algebraic surface S with  $\bar{\kappa}(S) \geq 0$  and  $\bar{q}(S) \geq 1$  satisfies  $\bar{P}_{12}(S) \geq 1$  and gave some examples with  $\bar{q}(S) = 1$ ,  $\bar{P}_2(S) = 0$  which are rational and have structures of elliptic fiber spaces over  $\mathbb{C}^*$ , where  $\bar{\kappa}(S)$  is the *logarithmic Kodaira* dimension of S,  $\bar{q}(S)$  is the *logarithmic irregularity* of S and  $\bar{P}_m(S)$  is the *logarithmic m-genus* of S. In this note we give some results on the classification of such surfaces using the theory of elliptic surfaces by Kodaira ([3][4][5]).

First we fix our notations:

- S : a nonsingular algebraic surface over  $\mathbb C$
- $\overline{S}$ : a nonsingular complete algebraic surface which contains Sas a Zariski open subset and such that  $D = \overline{S} \setminus S$  is a reduced simple normal crossing divisor on  $\overline{S}$
- $K_{\bar{S}}$  : the canonical divisor of  $\bar{S}$

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$p_g(ar{S})$	:	the geometric genus of $\bar{S}$
$P_m(\bar{S})$	:	the <i>m</i> -genus of $\overline{S}$ for a positive integer $m$
$\bar{p}_g(S)$	:	the logarithmic geometric genus of $S$
$\bar{P}_m(S)$	:	the logarithmic $m$ -genus of $S$
$ar\kappa(S)$	:	the logarithmic Kodaira dimension of $S$
$q(ar{S})$	:	the <i>irregularity</i> of $\bar{S}$
$ar{q}(S)$	:	the logarithmic irregularity of $S$
$p_a(\bar{S})$	:	the arithmetic genus of $\bar{S}$

We assume that S is a non-singular rational surface with an elliptic fibration  $\pi: S \to \mathbb{C}^*$  which is extended to an elliptic fibration  $\overline{\pi}: \overline{S} \to \mathbb{P}^1_{\mathbb{C}}$ such that  $D = redF_1 + redF_2$  where  $F_i(i = 1, 2)$  are fibres of  $\overline{\pi}$  and  $redF_i$ (i = 1, 2) are reduced fibres.

**Remark 1** Such a situation can arise from the quasi-Albanese mapping when  $\bar{q}(S) = 1$ . (Iitaka[2]).

**Theorem 1** Let S be as above. Suppose that  $\bar{P}_2(S) = \bar{P}_3(S) = 0$  and that the fibration  $\bar{\pi} : \bar{S} \to \mathbb{P}^1_{\mathbb{C}}$  is free from multiple fibres. Then we have following three cases:

Case 1:  $\bar{\kappa}(S) = 1$  and  $\bar{P}_4(S) = 1$  and the moduli of general fibres of the elliptic fibration  $\pi$  are not constant and  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one as a fibration over  $\mathbb{C}^*$ .

Case 2:  $\bar{\kappa}(S) = 0$  and  $\bar{P}_4(S) = 1$  and the moduli of general fibres of  $\pi$  are constant and  $\pi: S \to \mathbb{C}^*$  is isomorphic to a fixed one.

Case 3:  $\bar{\kappa}(S) = 0$  and  $\bar{P}_6(S) = 1$  and the moduli of general fibres of  $\pi$  are constant and  $\pi: S \to \mathbb{C}^*$  is isomorphic to a fixed one.

**Remark 2** An explicit construction of case 1 was given in [6] p.357. Since the argument in [6] p.354 neglected the case in which the moduli of general fibres of  $\pi$  are constant, the conclusion of [6] Proposition 5 should be KURAMOTO: On examples of open algebraic surfaces with  $\bar{q}=1$ ,  $\bar{P}_2=0$ 

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corrected to  $\bar{P}_4(S) \ge 1$  or  $\bar{P}_6(S) \ge 1$ . But [6] Theorem 1 and Theorem 2 hold.

We will give explicit constructions of above three cases and some other cases in section 4. We have also some results in the case of  $\bar{P}_2(S) = 0$  and  $\bar{P}_3(S) \ge 1$  which are stated in section 3.

## 2 Preliminaries

For the fundamental results on elliptic surfaces we refer Kodaira's original papers [2][3][4] or the book [1]. Here we summarize them as far as we need to state our results.

By an elliptic fibration of a complex analytic surface X we mean a proper connected holomorphic map  $f: X \to \Delta$ , such that the general fibre  $X_w$  $(w \in \Delta)$  is a non-singular elliptic curve. Unless otherwise stated we shall always assume that f is minimal, i.e. all fibres are free of exceptional curves.

Fact 1 ([5]Theorem 6.2) The singular fibres of an elliptic fibration over the unit disk are classified in following types:  ${}_{m}I_{b}$ , II, III, IV,  $I_{b}^{*}$ ,  $II^{*}$ ,  $III^{*}$ ,  $IV^{*}$ , where b is an integer  $\geq 0$ .

The type  $_{1}I_{b}$  is also denoted by  $I_{b}$ .

A fibre of type  $I_0$  is a regular fibre i.e. a non-singular elliptic curve with multiplicity 1.

A fibre of type  $I_1$  is a rational curve with one node with multiplicity 1. A fibre of type  $I_b(b \ge 2)$  is a cycle of b non-singular rational curves with multiplicity 1.

A fibre of type  ${}_{m}I_{b}$   $(m \geq 2)$  is called a multiple fibre which is the m-ple of a fibre of type  $I_{b}$ .

A fibre of type II is a rational curve with one cusp with multiplicity 1. A fibre of type III consists of two non-singular rational curves intersecting one point with intersection multiplicity 2 and each component has multiplicity 1.

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A fibre of type IV consists of three non-singular rational curves intersecting one point and each component has multiplicity 1. A fibre of all the other types is a tree of non-singular rational curves in which some component has multiplicity  $\geq 2$  and some component has multiplicity 1.

Any non-singular rational curve which appears as an irreducible component of a singular fibre has the self-intersection number -2.

Let  $f: X \to \Delta$  be an elliptic fibration over an algebraic curve  $\Delta$  without multiple fibres. Let  $\{a_{\rho}\}$  be a finite subset of  $\Delta$  such that for  $u \in \Delta' = \Delta \setminus \{a_{\rho}\}, f^{-1}(u)$  is a regular fibre. Kodaira defined the *functional invariant*  $\mathcal{J} = \mathcal{J}(u)$  of f which is a meromorphic function on  $\Delta$  and the *homological invariant* G belonging to  $\mathcal{J}$  which is a sheaf on  $\Delta$  extended from a localy constant sheaf on  $\Delta'$ . We denote by  $\mathcal{F}(\mathcal{J}, G)$  the set of all elliptic fibrations over  $\Delta$  free from multiple fibres whose functional and homological invariants are  $\mathcal{J}$  and G.

**Fact 2** ([4]) Any elliptic fibration over  $\Delta$  free from multiple fibres belongs to some  $\mathcal{F}(\mathcal{J}, G)$ .

**Fact 3** ([5]) If a meromorphic function  $\mathcal{J}$  on  $\Delta$  is given, there exist a finite number of homological invariants belonging to  $\mathcal{J}$ .

Fact 4 ([5]Theorem 10.2) For a meromorphic function  $\mathcal{J}$  on  $\Delta$  and the homological invariant G belonging to  $\mathcal{J}$ , there is a unique member B in  $\mathcal{F}(\mathcal{J},G)$  which possesses a global holomorphic section. B is called the basic member of  $\mathcal{F}(\mathcal{J},G)$ .

For  $a_{\rho} \in \Delta$  we denote by  $C_{a_{\rho}}$  the fibre over  $a_{\rho}$ .

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Fact 5 ([5]Theorem 9.1 or [1]p.159 Table 6) The behaviour of the functional invariant  $\mathcal{J}(s)$  is as follows:

- If J(a<sub>ρ</sub>) ≠ 0, 1, ∞ or J(s) has a zero of order h ≡ 0(3) at a<sub>ρ</sub> or
  J(s) 1 has a zero of order h ≡ 0(2) at a<sub>ρ</sub>, then the type of C<sub>a<sub>ρ</sub></sub> is I<sub>0</sub> or I<sub>0</sub><sup>\*</sup>.
- 2. If  $\mathcal{J}(s)$  has a pole of order b at  $a_{\rho}$ , then the type of  $C_{a_{\rho}}$  is  $I_b$  or  $I_b^*(b \geq 1)$ .
- 3. If  $\mathcal{J}(s)$  has a zero of order  $h \equiv 1(3)$  at  $a_{\rho}$ , then the type of  $C_{a_{\rho}}$  is II or IV<sup>\*</sup>.
- 4. If  $\mathcal{J}(s)$  has a zero of order  $h \equiv 2(3)$  at  $a_{\rho}$ , then the type of  $C_{a_{\rho}}$  is II\* or IV.
- 5. If  $\mathcal{J}(s) 1$  has a zero of order  $h \equiv 1(2)$  at  $a_{\rho}$ , then the type of  $C_{a_{\rho}}$  is III or III<sup>\*</sup>.

**Remark 3** In the case of  $\mathcal{J}(s) = c = constant$ , if  $c \neq 0, 1$  then the basic member has no singular fibres. But if c = 0 any of types II, IV<sup>\*</sup>, II<sup>\*</sup> or IV can occur, and if c = 1 then type III or III<sup>\*</sup> can occur. (cf. Examples in section 4.)

For the compact analytic surface X with the elliptic fibration  $f: X \to \Delta$ , we denote by  $\nu(T)$  the number of singular fibres of f of type T.

Fact 6 ([5]Theorem 12.2) The arithmetic genus  $p_a$  of X is given by the formula

$$12(p_a + 1) = \sum_{b} b\nu(\mathbf{I}_b) + \sum_{b} (b+6)\nu(\mathbf{I}_b^*) + 2\nu(\mathbf{II}) + 3\nu(\mathbf{III}) + 4\nu(\mathbf{IV}) + 10\nu(\mathbf{II}^*) + 9\nu(\mathbf{III}^*) + 8\nu(\mathbf{IV}^*).$$
(1)

**Remark 4** If X is isomorphic to the basic member B of  $\mathcal{F}(\mathcal{J}, G)$ , then  $\sum_{b} b(\nu(\mathbf{I}_{b}) + \nu(\mathbf{I}_{b}^{*}))$  is equal to the total multiplicity of the poles of the meromorphic function  $\mathcal{J}$  which we denote by j.

## **3** Proof of Theorem 1 and some results

We assume that S is a non-singular rational surface with an elliptic fibration  $\pi: S \to \mathbb{C}^*$  which is extended to an elliptic fibration  $\bar{\pi}: \bar{S} \to \mathbb{P}^1_{\mathbb{C}}$ such that  $D = redF_1 + redF_2$  where  $F_i(i = 1, 2)$  are fibres of  $\bar{\pi}$  and  $redF_i$  are reduced fibres. Since we assume that D is a simple normal crossing divisor,  $F_i(i = 1, 2)$  may contain some exceptional curves. By contracting them, we have a minimal elliptic fibration  $\hat{\pi}: \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  and a proper birational morphism  $\mu: \bar{S} \to \hat{S}$  such that  $\bar{\pi} = \hat{\pi} \circ \mu$  and  $\mu|_S$  is an isomorphism. We denote  $\mu_*F_i = \hat{F}_i$  (i = 1, 2).

**Remark 5** Under the above assumption there exists an exceptional curve on  $\hat{S}$  which is not contained in a fibre of  $\hat{\pi}$ , because there is no irreducible irrational curve C with  $C^2 \leq 0$  on  $\mathbb{P}^2_{\mathbb{C}}$  or Hirzebruch surfaces  $\Sigma_n$ .

**Lemma 1** Under the above condition, the elliptic fibration  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  has at most one multiple fibre.

*Proof*: We refer [6]p.353. Anyway it is an immediate consequence of the canonical bundle formula [3].  $\Box$ 

**Lemma 2** Under the above condition, if the elliptic fibration  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  has no multiple fibres, then it possesses a global section.

*Proof*: Since there exists an exceptional curve E on  $\hat{S}$ , if  $\hat{\pi}$  has no multiple fibres we have

$$-1 = E \cdot K_{\hat{s}} = -E \cdot \hat{F}$$

where  $\hat{F}$  is a general fibre of  $\hat{\pi}$ . Thus E is a global section.

**Lemma 3** Under the above condition, if  $\overline{P}_2(S) = 0$  then {type of  $\hat{F}_i \mid i = 1, 2$ } is {III\*, II} or {III\*, III} or {II\*, II} or {IV\*, III} or {IV\*, II} or {IV\*, IV}.

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Proof: From [6]p.353 Lemma 4, we infer that one of  $\hat{F}_i(i = 1, 2)$  is of type II<sup>\*</sup> or III<sup>\*</sup> or IV<sup>\*</sup>. Since  $\bar{p}_g(S) = 0$  we infer from [6]p.345 Lemma 2 that D contains no fibres of type  $I_b$  or  $I_b^*$ , and from the assumption that  $\hat{S}$  is rational we have  $p_a(\hat{S}) = 0$ . Combining these with the formula (1) we can easily deduce the conclusion.

**Remark 6** If we assume that  $\hat{\pi}$  has no multiple fibres and that the moduli of general fibres of  $\hat{\pi}$  are not constant i.e. the functional invariant  $\mathcal{J}$  is not constant, then  $j \geq 1$  and therefore only {III<sup>\*</sup>, II}, {IV<sup>\*</sup>, III} and {IV<sup>\*</sup>, II} are the cases. If {type of  $\hat{F}_i \mid i = 1, 2$ } is {II<sup>\*</sup>, II} or {IV<sup>\*</sup>, IV} then  $\mathcal{J} = 0$ , and if {type of  $\hat{F}_i \mid i = 1, 2$ } is {III<sup>\*</sup>, III} then  $\mathcal{J} = 1$ .

**Lemma 4** Under the above condition, if  $\overline{P}_2(S) = \overline{P}_3(S) = 0$  then {type of  $\hat{F}_i \mid i = 1, 2$ } is {III<sup>\*</sup>, II} or {III<sup>\*</sup>, III} or {III<sup>\*</sup>, II}.

Proof: If {type of  $\hat{F}_i \mid i = 1, 2$ } is {IV<sup>\*</sup>, III} or {IV<sup>\*</sup>, II} or {IV<sup>\*</sup>, IV}, then we infer that  $\bar{P}_3(S) \ge 1$  by the argument in [6]p.355-356.

Proof of Theorem 1: If the assumption of Theorem 1 holds, we infer from Lemma 2 and Fact 2 and Fact 4 that  $\hat{S}$  is the basic member of some  $\mathcal{F}(\mathcal{J}, G)$ where  $\mathcal{J}$  is a meromorphic function on  $\mathbb{P}^1_{\mathbb{C}}$ . First we assume that  $\mathcal{J}$  is not constant. Then we infer from Remark 6 and Lemma 4 that {type of  $\hat{F}_i \mid i = 1, 2$ } is {III<sup>\*</sup>, II}. Then by the argument of [6]p.354 Case 1, we infer that  $\bar{P}_4(S) = 1$ ,  $\bar{P}_{12}(S) = 2$  and  $\bar{\kappa}(S) = 1$ . On the other hand from the formula (1) we infer that  $\hat{\pi}$  has only one singular fibre except for  $\hat{F}_i(i = 1, 2)$  which is of type I<sub>1</sub>. Hence by choosing a suitable inhomogeneous coordinate for  $\mathbb{P}^1_{\mathbb{C}}$ , we may assume that  $C_0$  is of type II and  $C_1$  is of type III<sup>\*</sup> and  $C_{\infty}$  is of type I<sub>1</sub>. Denoting this inhomogeneous coordinate by s we infer from Fact 5 that  $\mathcal{J}(s)$  has only one pole with multiplicity 1 at  $s = \infty$  and has a zero of order  $h \equiv 1(3)$  at s = 0 and that  $\mathcal{J}(s) - 1$  has a zero of order  $h \equiv 1(2)$  at s = 1. It is obvious that a meromorphic function  $\mathcal{J}(s)$  on  $\mathbb{P}^1_{\mathbb{C}}$  satisfying such conditions is only  $\mathcal{J}(s) = s$ . Since the homological invariant G belonging to  $\mathcal{J}(s) = s$  which induces the above set of singular fibres is unique,  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  is isomorphic to the basic member of  $\mathcal{F}(\mathcal{J}, G)$  which is uniquely determined up to isomorphisms induced by automorphisms of  $\mathbb{P}^1_{\mathbb{C}}$ . Thus we have the conclusion in case 1.

Next we assume that {type of  $\hat{F}_i | i = 1, 2$ } is {III<sup>\*</sup>, III}. Then the functional invariant  $\mathcal{J}$  is constant 1 and there are no singular fibres except for  $\hat{F}_i(i = 1, 2)$ . The elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  satisfying these conditions is uniquely determined up to isomorphisms induced by automorphisms of  $\mathbb{P}^1_{\mathbb{C}}$  and therefore  $\pi : S \to \mathbb{C}^*$  is isomorphic to fixed one. In this case by the similar argument as in [6]p.354 Case 1 we have an effective divisor which belongs to the linear system  $|4(K_{\bar{S}} + D)|$  and whose intersection form is negative definite. Hence  $\bar{P}_4(S) = 1$  and  $\bar{\kappa}(S) = 0$ . Thus we have the conclusion in case 2.

Now we assume that {type of  $\hat{F}_i \mid i = 1, 2$ } is {II<sup>\*</sup>, II}. Then  $\mathcal{J} = 0$ and there are no singular fibres except for  $\hat{F}_i (i = 1, 2)$  and we infer that  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one. In this case we have  $\bar{P}_6(S) = 1$  and  $\bar{\kappa}(S) = 0$ . Thus we have the conclusion in case 3. Q. E. D.

**Proposition 2** If {type of  $\hat{F}_i | i = 1, 2$ } is {IV<sup>\*</sup>, III} and  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  has no multiple fibres, then  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one as a fibration over  $\mathbb{C}^*$  and  $\bar{P}_4(S) = 1$  and  $\bar{\kappa}(S) = 0$ .

Proof: By the same argument in the proof of Theorem 1, we can apply the formula (1) to  $\hat{S}$ . Since  $p_a(\hat{S}) = 0$  and  $\nu(IV^*) \ge 1$ ,  $\nu(III) \ge 1$ , we infer that  $\hat{\pi}$  has only one singular fibre except for  $\hat{F}_i(i = 1, 2)$  which is of type I<sub>1</sub>. Hence by choosing a suitable inhomogeneous coordinate for  $\mathbb{P}^1_{\mathbb{C}}$ , we may assume that  $C_0$  is of type IV<sup>\*</sup> and  $C_1$  is of type III and  $C_{\infty}$  is of type I<sub>1</sub>. Denoting this inhomogeneous coordinate by s we infer that  $\mathcal{J}(s) = s$  in the same way as in the proof of Theorem 1. Hence  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  is isomorphic to Kuramoto: On examples of open algebraic surfaces with  $\bar{q}=1$ ,  $\bar{P}_2=0$ 

the basic member of  $\mathcal{F}(\mathcal{J}, G)$  where G is the homological invariant determined by the singular fibres. On the other hand by the similar argument as in [6]p.354 Case 1, we have an effective divisor which belongs to the linear system  $|4(K_{\bar{S}} + D)|$  and whose intersection form is negative definite. Hence  $\bar{P}_4(S) = 1$  and  $\bar{\kappa}(S) = 0$ . Q. E. D.

**Remark 7** The functional invariant in Proposition 2 is the same as in Theorem 1 but the homological invariant is different.

**Remark 8** If  $\hat{\pi}$  has a multiple fibre we have  $\bar{P}_4(S) \ge 1$  and  $\bar{\kappa}(S) = 1$ .

**Proposition 3** If {type of  $\hat{F}_i | i = 1, 2$ } is {IV<sup>\*</sup>, II} then  $\bar{P}_3(S) \ge 1$  and  $\bar{\kappa}(S) = 1$ . Moreover if we assume that  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  has no multiple fibres, we have following three cases:

Case 1: The functional invariant  $\mathcal{J}$  is not constant and  $\pi: S \to \mathbb{C}^*$  is isomorphic to a fixed one as a fibration over  $\mathbb{C}^*$ .

Case 2: The functional invariant  $\mathcal{J}$  is not constant and  $\pi : S \to \mathbb{C}^*$  is isomorphic to a member of a family of elliptic fibrations over  $\mathbb{C}^*$  parametrized by  $\mathbb{C} \setminus \{0, 1, -1\}$ .

Case 3:  $\mathcal{J} = 0$  and  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one as a fibration over  $\mathbb{C}^*$ .

Proof:  $\overline{P}_3(S) \ge 1$  was already stated in the proof of Lemma 4. By the argument in [6]p.355-356 we infer immediately that  $\overline{P}_6(S) \ge 2$ . Thus we have  $\overline{\kappa}(S) = 1$ .

First we assume that  $\mathcal{J}$  is not constant. Then  $j \geq 1$  and we infer from formula (1) that  $\nu(IV^*) = \nu(II) = 1$  and j = 2. Thus we have two cases one of which is  $\nu(I_2) = 1$ ,  $\nu(I_1) = 0$  and the other is  $\nu(I_2) = 0$ ,  $\nu(I_1) = 2$  and there is no singular fibres except for above 3 or 4 fibres.

Case 1: Suppose that  $\nu(I_2) = 1$ . Then there is three singular fibres whose types are II and IV<sup>\*</sup> and  $I_2$  respectively. Hence by choosing a suitable inhomogeneous coordinate for  $\mathbb{P}^1_{\mathbb{C}}$ , we may assume that  $C_0$  is of type IV<sup>\*</sup> and  $C_1$  is of type II and  $C_{\infty}$  is of type I<sub>2</sub>. Denoting this inhomogeneous coordinate by s we infer from Fact 5 that  $\mathcal{J}(s)$  is a quadratic polynomial in s such that  $\mathcal{J}(0) = \mathcal{J}(1) = 0$ . Thus we have  $\mathcal{J}(s) = cs(s-1)$  where c is a nonzero constant. Since  $\hat{S}$  has no singular fibres of type III or III<sup>\*</sup>,  $\mathcal{J}(s) - 1$  has no simple zeros. Hence the quadratic equation cs(s-1) = 1 has a multiple root and therefore we have c = -4. Thus  $\mathcal{J}(s) = -4s(s-1)$  and  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  is isomorphic to the basic member of  $\mathcal{F}(\mathcal{J}, G)$  where  $\mathcal{J}(s) = -4s(s-1)$  and Gis the uniquely determined homological invariant by the set of singular fibres.

Case 2: Suppose that  $\nu(I_1) = 2$ . In this case there are four singular fibres and we can prescribe the positions of any three singular fibres by a suitable automorphism of  $\mathbb{P}^1_{\mathbb{C}}$ . Hence by choosing a suitable inhomogeneous coordinate for  $\mathbb{P}^1_{\mathbb{C}}$  we may assume that  $C_0$  is of type IV<sup>\*</sup> and  $C_1$  is of type II and  $C_{\infty}$  is of type I<sub>1</sub>. If we denote this inhomogeneous coordinate by s, the remaining singular fibre which is of type I<sub>1</sub> is defined by  $s = \alpha$  where  $\alpha \neq 0, 1, \infty$ . Then we infer from Fact 5 that  $\mathcal{J}(0) = \mathcal{J}(1) = 0$  and that  $\lim_{s\to\alpha}(s-\alpha)\mathcal{J}(s)$  and  $\lim_{s\to\infty}s^{-1}\mathcal{J}(s)$  are nonzero constants. Hence we have  $\mathcal{J}(s) = cs(s-1)(s-\alpha)^{-1}$  where c is a nonzero constant. Since  $\mathcal{J}(s) - 1$ has no simple zeros, the quadratic equation  $cs(s-1) = s - \alpha$  has a multiple root. Thus we have  $(c+1)^2 = 4c\alpha$  and

$$\mathcal{J}(s) = \frac{4c^2 s(s-1)}{4cs - (c+1)^2} \tag{2}$$

Since  $\alpha \neq 0, 1, \infty$  we have  $c \neq 0, 1 - 1$ . For  $c \in \mathbb{C} \setminus \{0, 1, -1\}$  we denote by  $\overline{B}_c$  the basic member of  $\mathcal{F}(\mathcal{J}, G)$  where  $\mathcal{J}(s)$  is given by (2) and G is the homological invariant determined by the singular fibres. We denote by  $\overline{\mathcal{B}}$  the family  $\{\overline{B}_c | c \in \mathbb{C} \setminus \{0, 1, -1\}\}$ . Since every member  $\overline{B}_c$  of  $\overline{\mathcal{B}}$  has fixed fibres  $C_0$  of type IV<sup>\*</sup> and  $C_1$  of type II, we put  $\overline{B}_c \setminus C_0 \cup C_1 = B_c$  and denote by  $\mathcal{B}$  the family  $\{B_c | c \in \mathbb{C} \setminus \{0, 1, -1\}\}$ . Then  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  is isomorphic to a member of the family  $\overline{\mathcal{B}}$  and  $\pi : S \to \mathbb{C}^*$  is isomorphic to a member of the family  $\overline{\mathcal{B}}$ .

Case 3: Finally we assume that  $\mathcal{J}$  is constant. Then  $\mathcal{J} = 0$  since there are singular fibres of type IV<sup>\*</sup> and II. Then we infer from formula (1) that  $\nu(\mathrm{IV}^*) = 1$  and  $\nu(\mathrm{II}) = 2$  and there are no other singular fibres. Since we can prescribe the positions of these three singular fibres by an automorphism of  $\mathbb{P}^1_{\mathbb{C}}$ , we infer that  $\pi: S \to \mathbb{C}^*$  is isomorphic to a fixed one.

#### Q.E.D.

**Proposition 4** If {type of  $\hat{F}_i | i = 1, 2$ } is {IV<sup>\*</sup>, IV} and  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  has no multiple fibres, then  $\bar{P}_3(S) = 1$  and  $\bar{\kappa}(S) = 0$  and  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one as a fibration over  $\mathbb{C}^*$ .

Proof: From the assumption we have  $\mathcal{J} = 0$  and there are no singular fibres except for  $\hat{F}_i(i = 1, 2)$ . Thus we infer that  $\pi : S \to \mathbb{C}^*$  is isomorphic to a fixed one. On the other hand by the similar argument as in [6]p.354 Case 1, we have an effective divisor which belongs to the linear system  $|3(K_{\bar{S}} + D)|$ and whose intersection form is negative definite. Hence  $\bar{P}_3(S) = 1$  and  $\bar{\kappa}(S) = 0.$  Q.E.D.

**Remark 9** If  $\hat{\pi}$  has a multiple fibre we have  $\bar{P}_3(S) \ge 1$  and  $\bar{\kappa}(S) = 1$ .

By Theorem 1 and Proposition 2-4 we have classified ellipic fibre spaces  $\pi : S \to \mathbb{C}^*$  which are extended to fibre spaces  $\bar{\pi} : \bar{S} \to \mathbb{P}^1_{\mathbb{C}}$  with no multiple fibres such that  $\bar{S}$  are rational and  $\bar{P}_2(S) = 0$ . In the next section we give explicit constructions of examples of each cases.

## 4 Constructions of examples

In this section we denote by (X : Y : Z) a homogeneous coordinate for  $\mathbb{P}^2_{\mathbb{C}}$ . **Example 1** Let C be a rational curve of degree 3 with one cusp in  $\mathbb{P}^2_{\mathbb{C}}$  and L be a line which is tangent to C at one non-singular point and intersects simply with C at one another point. Removing the base points of the linear system generated by 3L and C, we have an elliptic fibre space  $\hat{\pi}: \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type III<sup>\*</sup> and II which correspond to 3L and C respectively. For example we put  $C = \{Y^2Z - X^3 = 0\}$  and  $L = \{-3X + 2Y + Z = 0\}$ . Then a fibre which does not correspond to 3L is defined by  $\{Y^2Z - X^3 - w(-3X + 2Y + Z)^3 = 0\}$  where  $w \in \mathbb{C}$ . We infer that when  $w = 108^{-1}$  the fibre has one node at (X : Y : Z) = (-1 : 1 : 1). Thus this is the case we treated in Theorem 1 Case 1.

**Remark 10** The above construction is different from the one in [6]p.357.

**Example 2** Let C be a conic in  $\mathbb{P}^2_{\mathbb{C}}$  and  $L_1$  and  $L_2$  be two different tangent lines of C. Then by the linear system generated by  $3L_1$  and  $C + L_2$  we have an elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type III\* and III which correspond to  $3L_1$  and  $C + L_2$  respectively. For example we put  $C = \{YZ - X^2 = 0\}$  and  $L_1 = \{Z = 0\}$  and  $L_2 = \{Y = 0\}$ . Then a fibre which does not correspond to  $3L_1$  is defined by  $\{(YZ - X^2)Y - wZ^3 = 0\}$ where  $w \in \mathbb{C}$ . For  $w \neq 0$  they are all nonsingular and isomorphic to each other. Thus this is the case in Theorem 1 Case 2.

**Example 3** Let C be a rational curve of degree 3 with one cusp in  $\mathbb{P}^2_{\mathbb{C}}$ and L be the tangent line of C at the nonsingular inflection point. Then by the linear system generated by 3L and C we have an elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type II<sup>\*</sup> and II which correspond to 3L and C respectively. For example we put  $C = \{YZ^2 - X^3 = 0\}$  and  $L = \{Y = 0\}$ . Then a fibre which does not correspond to 3L is defined by  $\{YZ^2 - X^3 - wY^3 = 0\}$  where  $w \in \mathbb{C}$ . For  $w \neq 0$  they are all nonsingular and isomorphic to each other. Thus this is the case in Theorem 1 Case 3.

**Example 4** Let C be a conic in  $\mathbb{P}^2_{\mathbb{C}}$  and  $L_1$  be a line intersecting with C simply at two point p, q and  $L_2$  a tangent line of C at a point different from p and q. Then by the linear system generated by  $3L_1$  and  $C + L_2$  we have an elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type IV<sup>\*</sup> and

III which correspond to  $3L_1$  and  $C + L_2$  respectively. For example we put  $C = \{YZ - X^2 = 0\}$  and  $L_1 = \{Y - Z = 0\}$  and  $L_2 = \{Y = 0\}$ . Then a fibre which does not correspond to  $3L_1$  is defined by  $\{(YZ - X^2)Y - w(Y - Z)^3 = 0\}$  where  $w \in \mathbb{C}$ . We infer that when w = -4/27 the fibre has a node at (X : Y : Z) = (0 : -2 : 1). Thus this is the case in Proposition 2.

**Example 5** Let C be a rational curve of degree 3 with one cusp in  $\mathbb{P}^2_{\mathbb{C}}$  and L be a line which intersects simply with C at three points. Then by the linear system generated by C and 3L we have an elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type IV<sup>\*</sup> and II which correspond to 3L and C respectively. If we put  $C = \{Y^2Z - X^3 = 0\}$  and  $L = \{X - Z = 0\}$ , then a fibre which does not correspond to 3L is defined by  $\{Y^2Z - X^3 - w(X - Z)^3 = 0\}$  where  $w \in \mathbb{C}$ . Then by calculation we infer that for w = -1 the corresponding fibre is of type I<sub>2</sub>, and for  $w \neq 0, -1$  the corresponding fibre is regular. Thus this is the case in Proposition 3 Case 1.

**Example 6** In the above example if we put  $C = \{Y^2Z - X^3 = 0\}$  and  $L = \{3a^2X + uY - Z = 0\}$ , where  $u, a \in \mathbb{C}$  such that  $au(u + 2a^3)(u - 2a^3) \neq 0$ , then a fibre which does not correspond to 3L is defined by  $\{Y^2Z - X^3 - w(3a^2X + uY - Z)^3 = 0\}$  where  $w \in \mathbb{C}$ . Then by calculation we infer that for two different nonzero values of w the fibre has one node. In fact for  $w = w_1 = -(4/27)(u + 2a^3)^{-2}$  the corresponding fibre has a node at (X : Y : Z) = (a : 1 : (-1/2)u), and for  $w = w_2 = -(4/27)(u - 2a^3)^{-2}$  the corresponding fibre has a node at (X : Y : Z) = (-a : 1 : (-1/2)u). Thus this is the case in Proposition 3 Case 2.

**Example 7** In the above example if we put  $C = \{Y^2Z - X^3 = 0\}$  and  $L = \{Y - Z = 0\}$ , then a fibre which does not correspond to 3L is defined by  $\{Y^2Z - X^3 - w(Y - Z)^3 = 0\}$  where  $w \in \mathbb{C}$ . Then we infer that for w = -4/27 the fibre has one cusp at (X : Y : Z) = (0 : -2 : 1) and for

 $w \neq 0, -4/27$  the fibres are all non-singular and isomorphic to each other. Thus this is the case in Proposition 3 Case 3.

**Example 8** Let  $L_i$  (i = 1, 2, 3) be three different lines in  $\mathbb{P}^2_{\mathbb{C}}$  intersecting one point p and  $L_0$  be a line which does not contain p. Then by the linear system generated by  $3L_0$  and  $L_1 + L_2 + L_3$  we can construct an elliptic fibre space  $\hat{\pi} : \hat{S} \to \mathbb{P}^1_{\mathbb{C}}$  with singular fibres of type IV<sup>\*</sup> and IV which correspond to  $3L_0$  and  $L_1 + L_2 + L_3$  respectively. For example we put  $L_0 = \{Z = 0\}$ ,  $L_1 = \{X = 0\}, L_2 = \{Y = 0\}$  and  $L_3 = \{X + Y = 0\}$ . Then a fibre which does not correspond to  $3L_0$  is defined by  $\{XY(X + Y) - wZ^3 = 0\}$  where  $w \in \mathbb{C}$ . Then for  $w \neq 0$  all fibres are non-singular and isomorphic to each other. Thus this is the case in Proposition 4.

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